

**TRANSMITTAL OF ENGLISH TRANSLATION  
OF NON-ENGLISH LANGUAGE PAPERS**

- IV. ☐ Submitted herewith is an English translation of the non-English language application papers as originally filed. Also submitted herewith is a statement by the translator of the accuracy of the translation. It is requested that this translation be used as the copy for examination purposes in the PTO.

NOTE: For fee processing a non-English application, complete item VI(5) below.

NOTE: a non-English oath or declaration in the form provided by the PTO need not be translated. 37 C.F.R. § 1.69(b).

**SMALL ENTITY STATUS**

- V. ☐ A statement that this filing is by a small entity

*(check and complete applicable items)*

☐ is attached.

☐ A separate refund request accompanies this paper.

☐ was filed on \_\_\_\_\_ (original).

**COMPLETION FEES**

**VI.**

**WARNING:** Failure to submit the surcharge fees where required will cause the application to become abandoned. 37 C.F.R. § 1.53.

NOTE: For effect on fees of failure to establish status, or change status, as a small entity, see 37 C.F.R. § 1.28(a).

**1. Filing fee**

☐ original patent application  
(37 C.F.R. § 1.16(a) - \$770.00; small entity - \$385.00) \$ \_\_\_\_\_

☐ design application  
(37 C.F.R. § 1.16(f) - \$340.00; small entity - \$170.00) \$ \_\_\_\_\_

**2. Fees for claims**

☐ each independent claim in excess of 3  
(37 C.F.R. § 1.16(b) - \$86.00; small entity - \$43.00) \$ \_\_\_\_\_

☐ each claim in excess of 20  
(37 C.F.R. § 1.16(c) - \$18.00; small entity - \$9.00) \$ \_\_\_\_\_

☐ multiple dependent claim(s)  
(37 C.F.R. § 1.16(d) - \$290.00; small entity - \$145.00) \$ \_\_\_\_\_

# U.S. Patent Application No. 10/749,062

## 3. Surcharge Fees

- ☒ late payment of filing fee and/or late filing of original declaration or oath (37 C.F.R. § 1.16(e) - \$130.00);

\$ 130.00

NOTE: Even where a facsimile declaration or oath signed by the inventor(s) was part of the originally filed papers, the surcharge fee is required.

NOTE: If both the filing fee and declaration or oath were missing from the original papers, the Office practice under C.F.R. §1.16(e) is that only one surcharge Fee need be paid whether the later filed oath or declaration and/or the filing fee are submitted afterwards at the same time or at different times.

4. ☐ Petition and fee for filing by other than all the inventors or a person not the inventor

(37 C.F.R. §§ 1.17(i) and 1.47 - \$130.00) \$ \_\_\_\_\_

- ☐ Fee for processing an application filed with a specification in a non-English language

(37 C.F.R. §§ 1.17(k) and 1.52(d) - \$130.00) \$ \_\_\_\_\_

- ☐ Fee for processing and retention of application (37 C.F.R. §§ 1.21(l) and 1.53(d) - \$130.00)

\$ \_\_\_\_\_

- ☒ Assignment (See "ASSIGNMENT COVER SHEET".)

\$ 40.00

NOTE: 37 C.F.R. § 1.21(l) establishes a fee for processing and retaining any application which is abandoned for failing to complete the application pursuant to 37 C.F.R. § 1.53(f) and this, as well as the changes to 37 C.F.R. §§ 1.53 and 1.78, indicate that in order to obtain the benefit of a prior U.S. application, either the basic filing fee or the processing and retention fee of § 1.21(l) within 1 year of notification under § 1.53(f) must be paid.

Total completion fees \$ 170.00

## EXTENSION OF TIME

### VII.

(complete (a) or (b), as applicable)

The proceedings herein are for a patent application, and the provisions of 37 C.F.R. § 1.136(a) apply.

- (a) ☐ Applicant petitions for an extension of time, the fees for which are set out in 37 C.F.R. §1.17(a)(1)-(4), for the total number of months checked below:

Extension (months)	Fee for other than small entity	Fee for small entity
<input type="checkbox"/> one month	\$ 110.00	\$ 55.00
<input type="checkbox"/> two months	\$ 420.00	\$ 210.00
<input type="checkbox"/> three months	\$ 950.00	\$ 475.00
<input type="checkbox"/> four months	\$1,480.00	\$ 740.00

Fee: \$ \_\_\_\_\_

If an additional extension of time is required, please consider this a petition therefor.

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(check and complete the next item, if applicable)

- ☐ An extension for \_\_\_\_\_ months has already been secured, and the fee paid therefor of \$\_\_\_\_\_ is deducted from the total fee due for the total months of extension now requested.

Extension fee due with this request \$ \_\_\_\_\_

OR

- (b) ☒ Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

**TOTAL FEE DUE**

**VIII.**

The total fee due is

Completion fee(s) \$ 170.00

Extension fee (if any) \$ 0.00

Total Fee Due \$ 170.00

**PAYMENT OF FEES**

**IX.**

- ☒ Enclosed is a check in the amount of \$ 170.00
- ☐ Authorization is hereby made to charge the amount of \$ \_\_\_\_\_
- ☐ to Deposit Account No. \_\_\_\_\_
- ☐ to credit card as shown on the attached credit card information authorization Form PTO-2038.

**WARNING:** Credit card information should **not** be included on this form as it may become public.

- ☐ Charge any additional fees required by this paper or credit any overpayment in the manner authorized above. A duplicate of this request is attached.

**AUTHORIZATION TO CHARGE ADDITIONAL FEES**

**X.**

**WARNING:** Accurately count claims, especially multiple dependant claims, to avoid unexpected high charges if extra claims are authorized.

**NOTE:** "Amounts of twenty-five dollars or less will not be returned unless specifically requested within a reasonable time, nor will the payer be notified of such amounts; amounts over twenty-five dollars may be returned by check or, if requested, by credit to a deposit account." 37 C.F.R. § 1.26(a).

- ☒ The Commissioner is hereby authorized to charge the following additional fees that may be required by this paper and during the pendency of this application to Account No. 23-0442.

☒ 37 C.F.R. § 1.16(a), (f) or (g) (filing fees)

☒ 37 C.F.R. § 1.16(b), (c) and (d) (presentation of extra claims)

# BEST AVAILABLE COPY

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NOTE: Because additional fees for excess or multiple dependent claims not paid on filing or on later presentation must only be paid or these claims cancelled by amendment prior to the expiration of the time period set for response by the PTO in any notice of fee deficiency (37 C.F.R. § 1.16(d)), it might be best not to authorize the PTO to charge additional claim fees, except possibly when dealing with amendments after final action.

- ☐ 37 C.F.R. §1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)
- ☒ 37 C.F.R. §1.17(a)(1)-(5) (extension fees pursuant to §1.136(a))
- ☐ 37 C.F.R. §1.17 (application processing fees)

NOTE: "A written request may be submitted in an application that is an authorization to treat any concurrent or future reply, requiring a petition for an extension of time under this paragraph for its timely submission, as incorporating a petition for extension of time for the appropriate length of time. An authorization to charge all required fees, fees under §1.17, or all required extension of time fees will be treated as a constructive petition for an extension of time in any concurrent or future reply requiring a petition for an extension of time under this paragraph for its timely submission. Submission of the fee set forth in §1.17(a) will also be treated as a constructive petition for an extension of time in any concurrent reply requiring a petition for an extension of time under this paragraph for its timely submission." 37 C.F.R. §1.136(a)(3).

- ☐ 37 C.F.R. §1.18 (issue fee at or before mailing of Notice of Allowance, pursuant to 37 C.F.R. §1.311(b))

NOTE: Where an authorization to charge the issue fee to a deposit account has been filed before the mailing of a Notice of Allowance, the issue fee will be automatically charged to the deposit account at the time of mailing the notice of allowance. 37 C.F.R. §1.311(b).

NOTE: 37 C.F.R. §1.28(b) requires "Notification of any change in loss of entitlement to small entity status must be filed in the application...prior to paying, or at the time of paying...issue fee..." From the wording of 37 C.F.R. §1.28(b): (a) notification of change of status must be made even if the fee is paid as "other than a small entity" and (b) no notification is required if the change is to another small entity.



SIGNATURE OF PRACTITIONER

Reg. No. 27,550

Tel. No.: (203) 261-1234

Customer No. 004955

**Alfred A. Fressola**  
(type or print name of practitioner)

**WARE, FRESSOLA, VAN DER SLUYS  
& ADOLPHSON LLP**  
Bradford Green, Bldg. Five  
755 Main St., P.O. Box 224  
Monroe, CT 06468